ERRP Early Retiree List (ERL) Response File Reason Codes

Reason Codes are numerical codes that correspond to a specific message about a record in an Early Retiree List Response File. Please carefully review the following detailed Reason Code information, specifically the 'What It Means' and 'What You Should Do' columns.

Should a Reason Code require the Plan Sponsor to resubmit an early retiree record, please keep in mind that each ERL is a full replacement of the previous file for that application plan year. Consequently, the early retiree record in question should be submitted on the next full replacement file submitted by the Plan Sponsor.

Note: If a change in eligibility creates a gap in the ERRP Eligibility period, you will receive more than one record for the beneficiary.

Disclaimer: Due to the sensitive nature of this information, the ERRP Center is not at liberty to discuss specific entitlements with Plan Sponsors.

Reason Code	Title	What It Means	What You Should Do
00	Adjusted Effective and/or Termination dates	The ERRP Center provided an ERRP coverage period which differs from Plan Sponsor submission.	Update the Plan Sponsor's internal early retiree records to reflect the ERRP coverage period provided by the ERRP Center in the Response File. The Plan Sponsor should only resubmit this record if there was an error in the original data submission, or if it believes the ERRP Center made an incorrect assessment of this early retiree's ERRP coverage period.
01	Invalid Plan Year Start Date	The Plan Year Start Date is not valid either because 1) it is not a valid date, or 2) the Plan Year Start Date provided by the Plan Sponsor cannot be found in the ERRP System. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Update the Plan Year Start Date submitted in the Early Retiree List to match the Plan Year Start Date in the application. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.

Reason Code	Title	What It Means	What You Should Do
02	Invalid Application ID	The Application ID is not valid since it cannot be found in the ERRP System. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Update the Application ID submitted in the Early Retiree List to **EXACTLY** match how it appears on the ERRP Secure Website. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
03	Invalid Last Name	The Last Name field is not valid because it is blank or contains non-alphabetic characters. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Update the Last Name field to ensure that it is not blank or that it does not contain non-alphabetic characters. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
04	Invalid First Name	The First Name field is not valid because it is blank or contains non-alphabetic characters. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Update the First Name field to ensure that it is not blank or that it does not contain non-alphabetic characters. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
05	Invalid Date of Birth	The Date of Birth field does not contain a valid date or is not in the format of CCYYMMDD. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Update the Date of Birth field so that it is a valid date (not a future date, and not prior to 1/1/1890) and in the format of CCYYMMDD. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.

Reason Code	Title	What It Means	What You Should Do
06	Invalid Gender	The Gender field has an invalid value. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Update the Gender field to contain one of the following values: 0 - Gender Unknown 1 - Male 2 - Female The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
07	Invalid Coverage Effective Date	The Coverage Effective Date field does not contain a valid date or is not in the format of CCYYMMDD. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Update the Coverage Effective Date field so that it is a valid date and in the format of CCYYMMDD. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
08	Invalid Coverage Termination Date	The Coverage Termination Date field does not contain a valid date or is not in the format of CCYYMMDD. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Update the Coverage Termination Date field so that it is a valid date and in the format of CCYYMMDD. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
09	Invalid Reason for Coverage Termination	The Reason for Coverage field has an invalid value. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Update the Reason for Coverage field to contain one of the following valid values: 1 - Death 2 – Other If the reason is 1 and the Termination Date is a future date, adjust the date as necessary (Termination Date cannot be a future date). The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.

Reason Code	Title	What It Means	What You Should Do
10	Invalid Unique Person ID (UPI) and/or UPI Type	The UPI or UPI Type is missing or invalid, or the UPI Type Code is 1 (SSN) and the UPI is not a valid SSN format. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Verify the following and update accordingly: Verify that the UPI field is not blank and contains one of the following valid values: 1 – SSN 2 – Alien ID 3 – I-94 ID 4 – Other Federal ID Verify that, if the Member Relationship to retiree is 01, that the UPI Type is 1, and the UPI is the same as the Subscriber's SSN. When UPI Type is 1, UPI must have a valid SSN format. Refer to Reason Code 13 for additional information. Verify that, if the Member Relationship to retiree is not 01, the UPI is not the same as the Subscriber's SSN. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
11	Early Retiree and Medicare Eligibility	The early retiree is Medicare eligible during some portion of the Plan Sponsor submitted Coverage Effective and Termination Dates. The ERRP Center provided an ERRP coverage period which differs from Plan Sponsor submission.	The ERRP coverage period provided by the ERRP Center could have resulted in a shortened ERRP coverage period or no ERRP coverage period at all. The Plan Sponsor should review the ERRP Effective and Termination Dates provided by the ERRP Center and update its internal early retiree records to reflect the ERRP coverage period provided by the ERRP Center. The Plan Sponsor should only resubmit this record if there was an error in the original data submission, or if it believes the ERRP Center made an incorrect assessment of this early retiree's ERRP Effective and Termination Date(s).

Reason Code	Title	What It Means	What You Should Do
12	Subscriber is deceased	The Subscriber's date of death received from the Medicare Beneficiary Database (MBD) falls before or within the Plan Sponsor's submitted Coverage Effective and Termination Dates. The ERRP Center provided an ERRP coverage period for the Subscriber which differs from Plan Sponsor submission.	The ERRP coverage period provided by the ERRP Center could have resulted in a shortened ERRP coverage period or no ERRP coverage period at all. The Plan Sponsor should review the ERRP Effective and Termination Dates provided by the ERRP Center and update its internal early retiree records to reflect the ERRP coverage period provided by the ERRP Center. Reimbursement may be requested for the Surviving Spouse's ERRP eligible claims, if applicable, until the Subscriber would have become eligible for Medicare (providing the Subscriber had at least one day of ERRP coverage), and as long as the Surviving Spouse is still covered under the health plan. Reimbursement may be requested for the Dependent's ERRP eligible claims, if applicable, only if those claims were incurred within the Subscriber's ERRP Effective and ERRP Termination dates. If applicable, Reason Code 37 will advise of adjusted ERRP Effective and ERRP Termination Dates for any Dependents. Member (Surviving Spouse or Dependent) records submitted on an Early Retiree List must always include a corresponding Subscriber record, even if the Subscriber is deceased. The Plan Sponsor should only resubmit this record if there was an error in the original data submission, or if it believes the ERRP Center made an incorrect assessment of this early retiree's ERRP Effective and Termination Date(s).

Reason Code	Title	What It Means	What You Should Do
13	Invalid SSN format	The SSN field has an invalid value. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Adjust the SSN field to ensure the SSN is numeric, contains 9 digits with no spaces, is not all 9s or 0s, and is not any combination of numbers known to be invalid. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
14	Termination Date is less than Effective Date	The Coverage Termination Date is earlier than the Coverage Effective Date. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Adjust the Coverage Termination Date so that it is not earlier than the Coverage Effective Date. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
15	No Header Record	No Header Record was submitted with the Early Retiree List. The Early Retiree List was not processed to determine early retiree coverage periods.	Resubmit the Early Retiree List with the appropriate Header Record.
16	Invalid Member ID or Member Group ID	Member ID and/or Member Group ID are invalid. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Verify that the Member ID and/or Member Group ID are not blank and/or do not contain spaces. Adjust as necessary. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.

Reason Code	Title	What It Means	What You Should Do
19	Invalid Relationship Code	The Relationship Code field has an invalid value. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Update the Relationship Code field to contain one of the following valid values: 01- Self 02 - Spouse 03 – Dependent The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
24	Effective and Termination Dates Not Within Plan Year	ERRP Center has determined the Plan Sponsor submitted Effective and Termination Dates are either entirely before or after the Plan Year. If Coverage Termination Date is before the beginning of ERRP (June 1, 2010), the early retiree does not have ERRP coverage. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Verify that the Effective and Termination Dates are correct. If dates are not within Plan Year, or Termination Date is before June 1, 2010, the early retiree will have no ERRP Coverage period. The Plan Sponsor should only resubmit this record if there was an error in the original data submission.
26	File Rejected – Denied Application	The status of the Application for which this retiree file was submitted is Denied. This retiree file was not processed by the ERRP Center.	Do not send retiree files for this Application.
27	File Rejected – Withdrawn Application	The status of the Application for which this retiree file was submitted is Withdrawn. This retiree file was not processed by the ERRP Center.	Do not send retiree files for this Application.

Reason Code	Title	What It Means	What You Should Do
29	File Rejected - Invalid Method and/or Submitter	The Early Retiree List was submitted via a method (ERRP Secure Website or Mainframe) inconsistent with the application information in the ERRP Secure Website Early Retiree List Setup process, or it was sent by a Mainframe submitter other than the one on file at the ERRP Center. The Early Retiree List was not processed to determine early retiree coverage periods.	Resubmit the Early Retiree List using the submission method or submitter consistent with the information on file at the ERRP Center, or contact the source and request they stop sending files for this application. For additional information contact the ERRP Center.
35	Invalid Early Retiree Record	A member record was submitted without a corresponding Subscriber record. Every member record must be associated with a corresponding Subscriber record, even if the Subscriber is deceased. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Resubmit a full replacement Early Retiree List, ensuring that all member records have a corresponding Subscriber record.

Reason Code	Title	What It Means	What You Should Do
36	Subscriber Eligibility	The ERRP Center provided an ERRP coverage period for the Subscriber which differs from Plan Sponsor submission. During some or all of the requested ERRP coverage period, the Subscriber was not 55 or older, or was 65 or older. Subscriber was determined to be Age 65 or older and assumed to be eligible for Medicare coverage.	The Plan Sponsor should update its records to match the ERRP Effective and ERRP Termination Dates provided in the Response File. The Plan Sponsor should only resubmit this record if there was an error in the original data submission, or if it believes the ERRP Center made an incorrect assessment of this early retiree's ERRP Effective and Termination Date(s).
37	Adjusted Spouse and/or Dependent Coverage Dates	When Termination Reason Code is a 1 (death of Subscriber), the ERRP Center provided an ERRP coverage period for Surviving Spouse and Dependents, as applicable, which differs from Plan Sponsor submission. When Termination Reason Code is a 2 (Other), ERRP Coverage periods were adjusted for Surviving Spouse and Dependents, as applicable, to equal the Subscriber's Termination Date.	Update the Plan Sponsor's internal early retiree records to reflect the ERRP coverage period provided by the ERRP Center in the Response File. Reimbursement may be requested for the Surviving Spouse's ERRP eligible claims, if applicable, until the Subscriber would have become eligible for Medicare, and as long as the Surviving Spouse is still covered under the Plan Sponsor's health plan. Reimbursement may be requested for the Dependent's ERRP eligible claims, if applicable, only if those claims were incurred within the Subscriber's ERRP Effective and ERRP Termination Dates. The Plan Sponsor should only resubmit this record if there was an error in the original data submission, or if it believes the ERRP Center made an incorrect assessment of this early retiree's ERRP Effective and Termination Date(s).

Reason Code	Title	What It Means	What You Should Do
38	Invalid Member ID and Member Group ID Combination	The combination of a Member ID and Member Group ID must be unique within an Application plan year. No ERRP coverage period exists for this early retiree. There are zeroes in ERRP Effective and Termination Dates.	Adjust the Member ID and Member Group ID combination so that it is not repeated for multiple members within the Early Retiree List. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
39	Inconsistent Subscriber Data	The combination of an Early Retiree's Date of Birth and Gender must be consistent within the entire Early Retiree List. This inconsistency was discovered in this Early Retiree List. No ERRP coverage period exists for this early retiree, or any Spouses or Dependents, if applicable. There are zeroes in ERRP Effective and Termination Dates.	Update the Subscriber's Date of Birth and Gender fields to be consistent throughout the entire Early Retiree List. The Plan Sponsor will need to resubmit any early retiree records with this Reason Code for ERRP coverage consideration.
40	File Not Processed	Previously submitted Early Retiree List was not processed due to the availability of a more recent Early Retiree List.	Refer to the latest Early Retiree List Response File for valid Effective and Termination dates for early retirees.
41	Inconsistent UPI Data	Records with the same UPI must contain a consistent Name (First, Middle and Last), Date of Birth and Gender.	Inconsistent Name, Date of Birth or Gender across multiple records with the same UPI. Verify these fields and resubmit.